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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No.		1801-P03049US1	
		First Named Inventor		CUNNINGHAM, Timothy J.	
		Title	A SMALL SURVIVAL-PROMOTING/IMMUNOMODULATORY PEPTIDE FOR TREATMENT OF BRAIN DAMAGE, NEURODEGENERATIVE DISORDERS, AND INFLAMMATORY DISORDERS		
		Express Mail Label No.		EV301974633US	Date Mailed: November 17, 2003

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Commissioner for Patents Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450	
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1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) (Total Pages <u>50</u>) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets <u>6</u>) 5. <input type="checkbox"/> Oath or Declaration (Total Pages <u> </u>) a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed Statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on <input type="checkbox"/> CD-ROM or CD-R (2 copies); or <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Request and certification for non-publication under 35 U.S.C. 122 17. <input type="checkbox"/> Other: _____	<div style="text-align: center;">  17497 U.S. PTO 10/714699 </div>

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
 Prior application information: Examiner _____

of prior application No.: _____
 Group Art Unit: _____

b. Priority Applications

In addition to any applications listed in 17a, the present application also claims priority to the following application(s), each of which is hereby incorporated herein by reference.

60/426,536 Filed November 15, 2002

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

CORRESPONDENCE ADDRESS

Please address all correspondence to **Customer Number 000110** to the attention of the individual identified below.

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11/17/03
 Date

FEE TRANSMITTAL

Complete if known

Application Number **not yet assigned**
 Filing Date **November 17, 2003**
 First Named Inventor **CUNNINGHAM, Timothy J.**
 Group Art Unit
 Examiner Name

TOT. AMT. OF PAYMENT: (1)+(2)+(3) = \$ **421.00**

Attorney Docket Number 1801-P03049US1

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to:

- ☐ Charge indicated fees
☒ Charge additional fees
☒ Credit overpayments

to the account of DANN, DORFMAN, HERRELL AND SKILLMAN

Deposit Account Number 04-1406

2. Payment enclosed:

Checks in the amount of \$ 421.00
 Check Nos. _____

FEE CALCULATION

1. FILING FEE

Fee Description	Fee Paid
Utility filing fee	<u>385.00</u>
Design filing fee	_____
Plant filing fee	_____
Reissue filing fee	_____
Provisional filing fee	_____
SUBTOTAL (1)	\$ <u>385.00</u>

2. CLAIMS

	Extra	Fee	Fee Paid
Total Claims Presented	<u>24</u>	- <u>20</u>	= <u>4</u> x <u>9.00</u> = <u>36.00</u>
		(a)	
Independent Claims Presented	<u>3</u>	- <u>3</u>	= <u>0</u> x <u>43.00</u> = <u>0</u>
		(b)	
Multiple Dependent Claim (first presentation)			= <u>0</u>
(a) Enter 20 or number previously paid for			
(b) Enter 3 or number previously paid for			
SUBTOTAL (2)			\$ <u>36.00</u>

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Description	Fee Paid
Surcharge-late filing fee or oath	_____
Surcharge - late provisional filing fee or cover sheet	_____
Non-English specification	_____
For filing a request for reexamination	_____
Requesting publication of SIR prior to Examiner action	_____
Requesting publication of SIR after Examiner action	_____
Extension for response within first month	_____
Extension for response within second month	_____
Extension for response within third month	_____
Extension for response within fourth month	_____
Notice of Appeal	_____
Filing a brief in support of an appeal	_____
Request for oral hearing	_____
Petition to institute a public use proceeding	_____
Petition to revive unavoidably abandoned application	_____
Petition to revive unintentionally abandoned application	_____
Issue fee	_____
Petitions to the Commissioner	_____
Petitions related to provisional applications	_____
Submission of Information Disclosure Stmt.	_____
Recording each patent assignment per property (times number of properties)	_____
Filing a submission after final rejection (37 CFR 1.129(a))	_____
For each additional invention to be examined (37 CFR 1.129(b))	_____
Other fee (specify) _____	_____
Other fee (specify) _____	_____

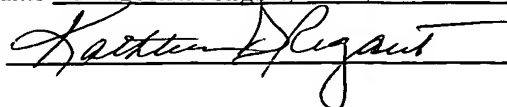
SUBTOTAL (3) \$ _____

Submitted By:

Typed or

Printed Name Kathleen D. Rigaut, Ph.D., J.D.

Signature



Reg. Number 43,047

Date November 17, 2003

Deposit Account User ID

04-1406